3-D Ambisonics Experience for Virtual Reality

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Abstract

To create an immersive virtual reality experience both graphics and audio need to be of high quality. Nevertheless, while much virtual reality research has focused on graphics and hardware, there has been less research into audio for virtual reality. Ambisonics is a technique that can provide virtual reality users with an immersive 360 degrees surround audio experience. For this project we built a virtual reality application for Google Cardboard in which the user can experience the audio produced with ambisonics. We also made a B-format ambisonics channel visualization. Four particle simulations show samples of each of the four first order ambisonics channels. In addition, we created a particle simulation with 512 particles that show the frequency components of the binaural version of the sound.

1 Introduction

1.1 Motivation

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To create an immersive alternate reality both immersive graphics and audio are necessary. Without high quality audio that matches the graphics users do not truly feel part of the virtual reality. 031 Ambisonics and spatial audio have much potential to improve the virtual reality sound experience. Ambisonics is a method to record, modify, and recreate audio in 360 degrees. After being selected 033 by Google as the preferred audio format for Google VR and being supported by leading game en-034 gine Unity there has been rising interesting in ambisonics for virtual reality. While most sound recording techniques encode information corresponding to specific speakers, ambisonics encodes the whole spherical soundfield. The major benefit of this approach is that the recorded sound can be 037 reproduced with a variable number of speakers at a variety of positions distanced horizontally and vertically from the listener. In this project we experimented with ambisonics and created an audio and visual ambisonics experience. We obtained an ambisonics audio encoding from Anna Tskhovre-040 boy of the Stanford Center for Computer Research in Music and Acoustics (CCRMA). We then used Unity to create a Google CardBoard virtual reality application with ambisonics based audio. Our 041 project also has an educational component. There still seem to be few virtual reality developers 042 familiar with how ambisonics work. We hope that with our application users can learn more about 043 ambisonics and how to use ambisonics to create a virtual reality application with great audio. The 044 scene we created contains ambisonic channel visualizations. The ambisonics B-format file format 045 consists of four channels W, X, Y, and Z. We created particle visualizations that show the samples 046 of the four B-format channels and change location based on the beat of the binaural version of the 047 music. Each of these four particle visualizations contains 512 particles. The size of each particle 048 changes over time and represents samples of the four B-format ambisonic channels. The particles are animated to behave like broken arcs shaped firework. The radius of the arc is determined by the amplitude of the raw output data. In addition, in our application there is a visualization of the fre-051 quency components of the binaural version of the sound in the form of a spiral. We used the Fourier transform to determine the frequency components. The spiral moves around based on the beat of the 052 music, and each of the 512 spiral particles corresponds to one of the frequency components of the binaural version of the sound.

⁰⁵⁴ 2 Related Work

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056 Many virtual reality research papers have focused on graphics and hardware, but there have been 057 relatively few papers about audio [1-4]. However, research demonstrates that audio is essential for 058 an immersive virtual reality experience. Hendrix et al. showed that 3-D sound greatly increases people's sense of presence in virtual realities [1]. The study showed that people felt more immersed in virtual realities with spatial sound than in virtual realities with non-spatial sound or no sound [1,2]. 060 Similarly, Naef et al. found that creating two separate sound signals for the left and right ear based 061 on the head-related transfer function (HRTF) leads to a more realistic sound experience [2]. The 062 HRTF is based on the shapes and sizes of the ears and head. As head and ear shapes vary between 063 people it is common to use general HRTF, since it is time-consuming and expensive to compute the 064 HRTF for all users. Vljame et al. and Gilkey et al. studied the consequences of suddenly removing 065 sound for someone in the real world. Both Vljame et al. and Gilkey et al. showed that the sudden 066 loss of sound makes people feel lost in the physical world; they lose their sense of being part of their 067 environments [3,4]. Sundareswaran et. al., on the other hand, tried to guide people through a virtual 068 world using only 3-D audio. They found that people can quickly identify the location of 3-D audio 069 sources, meaning that 3-D audio can be an effective way to guide people through an application. 070 Without 3-D audio users could localize audio sources within only 40 degrees, while with 3-D audio 071 the users were able to identify the location of objects within 25 degrees. However, according to the study localizing a sound source is relatively challenging when the sound source is behind the user. 072 Given that audio quality has a large impact on how users experience the virtual world, one might 073 wonder why audio has received relatively limited research attention. In the paper "3-D Sound for 074 Virtual Reality and Multimedia" Begault and Trejo argue that audio has played a less important role 075 in virtual reality development for two main reasons [5]. First, audio is not absolutely necessary for 076 developing a virtual reality. One can use a virtual reality headset without audio, just like a deaf 077 person can legally drive a car without (mostly) problems [5]. Second, the tools needed to develop 3-D audio for virtual reality have long been limited to audio experts, composer musicians, and music 079 academics [5]. Many people simply did not have the tools to develop audio for virtual reality [5]. Begault and Trejo believe that as audio hardware is becoming less expensive, more people will start 081 developing audio to create more realistic virtual reality experiences [5].

To improve audio for virtual reality researchers have experimented with 083 small microphones placed inside and around the ears of users that measure sound with high precision [6,7]. In this way it is possible to create 085 personalized and highly realistic audio. Subsequently, an audio engineer 086 plays a sound from a specific location. Harma et al. applied this idea 087 of personalized audio to augmented reality [6]. They placed two small 880 microphones in the ear of each user, and then collected and processed audio from different locations and sources [6]. The downside of this 089 approach is that each measurement is only accurate for sound coming 090 from a sound source at one specific location [6]. To create a compre-091 hensive personalized sound landscape the speakers has to be placed at 092 hundreds or even thousands of locations around the user [6]. VisiSon-093 ics, the company that is currently the main audio technology supplier for 094 Oculus Rift, aims to tackle this problem by placing speakers in the users 095 ears instead of microphones [7]. The researchers swap the speakers with



Figure 1: Omnidirectional soundfield microphone for ambisonics

microphones, play sound through the speakers, and then record the sound with microphones placed at many locations around the user [7]. In this way they can pick up the necessary information to create a personalized audio experience in several seconds [7]. Nevertheless, most of these new 3-D personalized sound recording techniques are still best for headphones instead of 360 degrees surround sound speakers, and without head tracker the speaker needs to sit still in a relatively small space [6,7].

Sennheiser recently released a microphone specifically for 360 degrees surround sound; this Sennheiser microphone can be used for ambisonic recording (see Figure 1) [7]. Research into ambisonics for virtual reality has so far been most limited [5-9]. Since its adoption by Google as the audio format of choice for virtual reality, and Unitys support of ambisonics B-format audio file format, ambisonics has seen an increase in interest [7,10]. Research into ambisonics was started in the 1972 when Michael Gerzon wrote the first paper about first order ambisonics and the B-format [9,11]. In the paper he described the first order ambisonics encoding and decoding process for the B-format, and how the W, X, Y, and Z channels capture information about the three-dimensional sound field [9,11].

There have been several startups that have made significant progress improving audio for virtual 111 reality. For example, Ossic has developed headphones that have built-in sensors to measure the 112 size and shape of your ears and head [12]. With these measurements the headphones can calibrate 113 sound and create personalized audio [12]. Ossic also developed an audio oriented rendering engine 114 for HTC Vive [12]. Developers can create object based sound to guide users through virtual reality 115 experiences [7]. Accurate head tracking plays an essential role in virtual reality audio. With accurate 116 head tracking audio can provide users with a better sense of direction [7]. Developers can use audio 117 cues to guide users through virtual environments in a natural way [7]. 118

3 Methods

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3.1 Ambisonics

123 Ambisonics is a technique to record, modify, and 124 recreate audio in 360 degrees. For this project we 125 obtained an ambisonics audio encoding from Anna 126 Tskhovrebov of the Stanford Center for Computer Re-127 search in Music and Acoustics (CCRMA). We then used Unity to create a Google CardBoard virtual re-128 ality application with ambisonics based audio. In con-129 trast to standard stereo sound, ambisonics can be used 130 to produce sound in horizontal and vertical surround 131 leading to a much more immersive experience. Af-132 ter Google selected ambisonics and its B-format as its 133 preferred audio format for virtual reality, and Unity 134 started to support the ambisonics B-format, ambison-135 ics has seen a surge of interest. In contrast to usual 136 stereo audio, ambisonics B-format does not encode 137 speaker information. Instead it encodes the sound field



Figure 2: With ambisonics the listener can be surrounded by a large number of synthesized speakers [10].

138 created by multiple sound sources using spherical harmonics. The B-format encoding can be de-139 coded into a variable number of speakers in all directions around the listener. This flexibility is one of the main advantages of using ambisonics for virtual reality. One of the major audio challenges 140 for virtual reality is matching the sound with the user's viewing direction. With ambisonics speakers 141 can be placed at all locations around the user, so that the sound and virtual speaker sphere around 142 the user can match the viewing direction. The decoding process can turn the B-format into binau-143 ral sound for headphones and is relatively light in terms of computation. As a result, the decoding 144 can be done in real-time. The relatively little computation needed for the decoding process makes 145 ambisonics well-suited for devices with limited computing power such as smartphones. 146

3.1.1 Encoding

149 Ambisonics encodings contain a spherical harmonics based approximation of the entire sound field. 150 The spherical harmonic $Y_l^m(\theta, \phi)$ of degree *l* and order *m* for azimuth angle θ and elevation angle 151 ϕ is commonly denoted using:

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$$Y_l^m(\theta,\phi) = N_l^{|m|} P_l^{|m|}(\sin\phi) \cdot \begin{cases} \sin -m\theta, & \text{if } m < 0\\ \cos m\theta, & \text{if } m \ge 0 \end{cases}$$

where P_l^m is the Legendre polynomial with degree l and order m and N is a normalization term [12]. The ambisonics spherical coordinate system can be seen in Figure 3. The azimuth ϕ is zero along the positive x-axis and increases in the counter-clockwise direction [9]. The elevation angle θ is also zero along the positive x-axis and increases in the positive z-direction [9]. In contrast to the standard spherical coordinate system θ is used for the azimuth and ϕ is used for the elevation angle.

161 The contribution of sound source s_i with the direction (θ, ϕ) towards ambisonic component B_l^m with degree l and order m is: $B_l^m = Y_l^m(\theta, \phi) \cdot S$. The normalization factor is the length of the



Figure 3: The spherical coordinate system for ambisonics

vector spanning from the origin in the direction of the sound source until it intersects the spherical harmonics. To determine each ambisonic component B_l^m we compute the contributions of each of the sound sources s_i towards the ambisonic component B_i^m and sum them up. We repeat this process for all ambisonic components B_1^m to obtain the encoding.

The first order ambisonic approximation to the sound field is the B-format. This encoding represents the 3-dimensional sound field using spherical harmonics with four functions, namely the zeroth order function W, and the three first order functions X, Y, and Z [13]. The zeroth order function W represents the sound pressure, X represents the front-minus-back sound pressure gradient, Y represents the left-minus-right sound pressure gradient, and Z represents the up-minus-down sound pressure gradient [13,14]. During the recording the W component is captured by an omnidirectional microphone as was shown in Figure 1, and the XYZ components can be captured by a eight recorders oriented along the three axis x,y,z [13,14]. We compute W, X, Y, Z using:

 θ_i

$$W = \frac{1}{k} \sum_{i=1}^{k} s_i [\frac{1}{\sqrt{2}}]$$
$$X = \frac{1}{k} \sum_{i=1}^{k} s_i \cos \phi_i \cos \theta_i$$
$$Y = \frac{1}{k} \sum_{i=1}^{k} s_i \sin \phi_i \cos \theta_i$$
$$Z = \frac{1}{k} \sum_{i=1}^{k} s_i \sin \theta_i$$

3.1.2 Decoding

The ambisonic decoding process aims to reconstruct the original 3-dimensional sound field at the origin of the spherical coordinate system. This point at the origin of coordinate system is at the center of the loudspeakers and is known as the sweet spot. The ambisonic encoding does not require one specific loud speaker setup to recreate the sound field and the encoding does not contain any specific original speaker information. Nonetheless, when selecting a speaker setup for the decoding process it is best to keep the layout of the speakers regular. The sound field can be approximately reconstructed with a variable number of loudspeakers. The only requirement is that the number of speakers L is larger than or equal to the number of ambisonic channels N. When using the B-format which has four channels this means that there needs to be at least four speakers to reconstruct the encoded sound field. Nevertheless, it is better to use more than N speakers; with more speakers the approximation of the original 3-dimensional sound field will be more accurate. If a user is only interested in horizontal surround sound, only the first three ambisonic channels of the B-format need to be used. In this case the Z channel can be ignored since it only contains information about the sound field in the z (up and down) directions.

The loudspeaker signals are obtained from the ambisonic encoding by spatially sampling the spherical harmonics with the speakers. Each speaker gets a weighted sum of the ambisonic channels. The weighted sum of each speaker is the value of its corresponding spherical harmonic. Hence, the signal for the *j*-th loudspeaker p_j of the L speakers for the B-format is [13,14]:

$$p_j = \frac{1}{L} [W(\frac{1}{\sqrt{2}}) + X(\cos\phi_j\cos\theta_j) + Y(\sin\phi_j\cos\theta_j) + Z(\sin\phi_j)]$$

3.1.3 Higher Order Ambisonics

It is possible to expand ambisonics to higher orders. Higher order encodings can enlarge the quality of the reconstructed sound field and the size for which the sound field is accurately reconstructed [9,15,16]. Higher order encodings are created by including additional components of the multipole expansion of a function on a sphere with spherical harmonics [17,18]. For a *m*-th order ambisonics encoding there are $(m + 1)^2$ channels. As the number of channels increases more speakers are needed for the decoding process to accurately reconstruct the sound field [19,20]. At least *m* speakers are necessary.

4 Evaluation

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235 Figure 4 shows the four particle simulations on the sides with the samples of each of the four first 236 order ambisonics channels. The particle simulations are all built with Unity. Each of the circles 237 consists of 512 particles. The size of each particle corresponds to samples of the four B-format 238 channels W, X, Y, and Z. Figure 4 also contains the spiral with 512 particles in the middle that show 239 the frequency components of the binaural version of the sound. Figure 5 is zoomed in specifically on this spiral. The spiral moves around based on the beat of the music. Most users who tried out 240 the Google Cardboard application specifically mentioned the high quality ambisonic based sound. 241 They found the experience to be more most immersive as a result of the high quality 360 degrees 242 surround sound. Users also enjoyed learning more about ambisonics and the frequency domain with 243 the ambisonic channels and binaural sound frequency component visualizations. 244

5 Discussion

Over the course of the project we have learned a lot about the theory of ambisonics. We believe that ambisonics have a lot of potential to create better virtual reality experiences. However, even though developers can create better virtual reality experiences using ambisonics, there still seem to be few virtual reality developers familiar with how ambisonics work. This was one of the main reasons why we decided to display samples of the ambisonics B-format in our scene. We hope that our users



Figure 4: Overview of the scene with ambisonics frequency components



Figure 5: Bottom spiral with stereo sound frequency components

270 learned more about the theory behind ambisonics from our project. During the demo and while 271 developing our application we tried to explain the theory behind the four channels to our users and 272 testers. We hope that the experience was both enjoyable and educational for our users. During the 273 summer we plan to build an immersive virtual reality ambisonics education experience. Users can 274 experience and learn about ambisonics at the same time. The experience will guide users through a scene during which they learn about the theory of ambisonics. Our plan is to let users experiment 275 with different speaker setups, encodings, and multiple order ambisonic encodings. The applications 276 tells them more about how the different ambisonics elements work. We hope that by building such 277 an educational application we can also learn more about virtual reality and specifically audio for 278 virtual reality. We also plan to experiment more with higher order ambisonic encodings. For this 279 project we only had a access to a B-format encoding. We would like to work with higher 2nd and 3rd 280 order encodings to improve the quality of the reconstructed sound field and enlarge the size of the 281 sweet spot. Another interesting application of ambisonics is gaze guidance. With ambisonics users 282 can accurately localize sound sources [21,22]. Sound sources can be positioned in such a way so that they guide users through a virtual reality experience [21,23]. Traditionally, mostly visual cues have 284 been used to move users through virtual reality applications [21,23]. The main benefit of ambisonic 285 based cues over visual cues is that the ambisonic based cues are more realistic and require no visual 286 changes in the virtual world. Using ambisonics based audio is more similar to the real world than for example artificial arrows that point users around the scene. In the future we would like to experiment 287 with applying ambisonics for user gaze guidance, especially in combination with eye tracking. 288

290 Acknowledgements

We would like to thank the EE267 coursestaff for their ongoing support. In addition, we would like to thank Anna Tskhovrebov for providing us with an Ambisonics B-format audio file.

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