Minimum Edit Distance

Definition of Minimum Edit Distance

How similar are two strings?

Spell correction

- The user typed "graffe"
 Which is closest?
 - graf
 - graft
 - grail
 - giraffe

Which candidate would require the minimum number of letter changes?

Similarity and Alignment in Computational Biology

We can compute similarity of two sequences of bases:

AGGCTATCACCTGACCTCCAGGCCGATGCCC TAGCTATCACGACCGCGGTCGATTTGCCCGAC

And we can compute an **alignment** between them:

-AGGCTATCACCTGACCTCCAGGCCGA--TGCCC---TAG-CTATCAC--GACCGC--GGTCGATTTGCCCGAC

I.e., given two sequences, align each letter to a letter or gap

Evaluating Automatic Speech Recognition (ASR) and Machine Translation (MT)

• We want to know which hypothesis is closer to a "reference" transcript

- Measure edit distance (in words, or tokens) between hypotheses and referent
- The better hypothesis is closer (has a lower edit distance) to the referent

Reference	Spokesman	confirms		senior	government	advise
Hypothesis1	Spokesman	confirms	the	senior		advise
			I		D	
Hypothesis2	Spokesman	said	the	older		advise
		S	I	S	D	

transcript s and referent referent



er was fired

S

Edit Distance

The minimum edit distance between two strings

Is the minimum number of editing operations

- Insertion
- Deletion
- Substitution

Needed to transform one into the other

rings ns

Minimum Edit Distance

Two strings and their **alignment**:

Given two sequences, an alignment is a correspondence between substrings of the two sequences, like the individual letters in this case

I N T E * N T I O N* E X E C U T I O N

We can read off the edit distance from the alignment

INTE * NTION * E X E C U T I O N dss is

If each operation has cost of 1

• Distance between these is 5

If substitutions cost 2 (a version of Levenshtein distance)

Distance between them is 8

How to find the Min Edit Distance?

Searching for a path (a sequence of edits) from the start string to the final string:

- **Initial state**: the word we're transforming
- **Operators**: insert, delete, substitute 0
- **Goal state:** the word we're trying to get to 0
- **Path cost**: what we want to minimize: the number of edits intention



Minimum Edit as Search

But the space of all edit sequences is huge!

We can't afford to navigate naively

Luckily:

- Lots of distinct paths wind up at the same state.
- We don't have to keep track of all of them
- Just the shortest path to each of those revisited states.
- We'll see a dynamic programming solution in the next lecture

Defining Min Edit Distance

For two strings

- X of length n
- Y of length m

We define D(*i*,*j*)

- the edit distance between X[1..*i*] and Y[1..*j*]
 - i.e., the first *i* characters of X and the first *j* characters of Y
- The edit distance between X and Y is thus D(n,m)

ers of Y

Minimum Edit Distance

Definition of Minimum Edit Distance



Minimum Edit Distance

Computing Minimum Edit Distance



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Dynamic Programming for Minimum Edit Distance

- **Dynamic programming**: A tabular computation of D(*n*,*m*)
- Solving problems by combining solutions to subproblems.
- Bottom-up
 - We compute D(i,j) for small *i*, *j*
 - And compute larger D(i,j) based on previously computed smaller values
 - i.e., compute D(i,j) for all i (0 < i < n) and j (0 < j < m)



Defining Min Edit Distance (Levenshtein)

- Initialization
 - D(i,0) = i D(0,j) = j
- Recurrence Relation:

For each
$$i = 1...M$$

For each $j = 1...N$
 $D(i,j) = min \begin{cases} D(i-1,j) + 1 \\ D(i,j-1) + 1 \\ D(i-1,j-1) + 2; & if X(i) \\ 0; & if X(i) \end{cases}$

• Termination:

D(N,M) is distance

2; if X(i) ≠ Y(j)
0; if X(i) = Y(j)



The Edit Distance Table

Ν	9									
0	8									
Ι	7									
Т	6									
Ν	5									
Е	4									
Т	3									
Ν	2									
Ι	1									
#	0	1	2	3	4	5	6	7	8	9
	#	E	Х	E	С	U	Т	Ι	0	Ν



9
Ν



Edit Distance

$$D(i,j) = \min \begin{cases} D(i-1,j) + 1 \\ D(i,j-1) + 1 \\ D(i-1,j-1) + \\ 0; \text{ if } S_1(i) \end{cases}$$

Ν	9									
0	8									
Ι	7									
Т	6									
Ν	5									
Е	4									
Т	3									
Ν	2									
Ι	1									
#	0	1	2	3	4	5	6	7	8	9
	#	E	Х	E	С	U	Т	Ι	0	Ν

$\neq S_2(j)$ = $S_2(j)$

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The Edit Distance Table

Ν	9	8	9	10	11	12	11	10	9	8
0	8	7	8	9	10	11	10	9	8	9
Ι	7	6	7	8	9	10	9	8	9	10
Т	6	5	6	7	8	9	8	9	10	11
Ν	5	4	5	6	7	8	9	10	11	10
Е	4	3	4	5	6	7	8	9	10	9
Т	3	4	5	6	7	8	7	8	9	8
Ν	2	3	4	5	6	7	8	7	8	7
Ι	1	2	3	4	5	6	7	6	7	8
#	0	1	2	3	4	5	6	7	8	9
	#	E	X	E	С	U	Т	Ι	0	N



Minimum Edit Distance

Computing Minimum Edit Distance





Minimum Edit Distance

Backtrace for Computing Alignments





Computing alignments

- Edit distance isn't sufficient
 - We often need to **align** each character of the two strings to each other
- We do this by keeping a "backtrace"
- Every time we enter a cell, remember where we came from
- When we reach the end,
 - Trace back the path from the upper right corner to read off the alignment



Edit Distance

$$D(i,j) = \min \begin{cases} D(i-1,j) + 1 \\ D(i,j-1) + 1 \\ D(i-1,j-1) + \\ 0; \text{ if } S_1(i) \end{cases}$$

Ν	9									
0	8									
Ι	7									
Т	6									
Ν	5									
Е	4									
Т	3									
Ν	2									
Ι	1									
#	0	1	2	3	4	5	6	7	8	9
	#	E	Х	E	С	U	Т	Ι	0	Ν

$\neq S_2(j)$ = $S_2(j)$

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MinEdit with Backtrace

n	9	↓ 8	∠←↓9	∠←↓ 10	∠←↓ 11	∠←↓ 12	↓ 11	↓ 10	↓ 9	∠ 8	
0	8	↓ 7	∠←↓ 8	∠, → y	∠←↓ 10	∠←↓ 11	↓ 10	↓ 9	∠ 8	e 9	
i	7	↓ 6	∠←↓ 7	∠←↓ 8	∠←↓ 9	∠←↓ 10	↓ 9	∠ 8	← 9	<i>←</i> 10	
t	6	↓ 5	∠←↓6	∠←↓ 7	∠←↓ 8	9 ,→√	∠ 8	← 9	← 10	←↓ 11	
n	5	↓ 4	∠←↓ 5	∠←↓6	∠←↓ 7	.∠←↓ 8	∠́←↓ 9	∠←↓ 10	∠←↓ 11	∠↓ 10	
e	4	∠ 3	← 4	∠ ← 5	← 6	← 7	$\leftarrow \downarrow 8$	9 ↓→∑	∠←↓ 10	↓ 9	
t	3	∠←↓4	∠←↓ 5	∠←↓6	∠←↓ 7	∠←↓ 8	∠ 7	$\leftarrow \downarrow 8$	9 ,→∑	↓ 8	
n	2	∠←↓ 3	∠←↓4	∠←↓ 5	∠←↓ 6	∠←↓ 7	∠←↓ 8	↓ 7	∠←↓ 8	∠ 7	
i	1		∠←↓3	∠←↓ 4	∠←↓ 5	∠←↓ 6	∠←↓ 7	∠ 6	← 7	← 8	
#	0	1	2	3	4	5	6	7	8	9	
	#	e	X	e	c	u	t	i	0	n	

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Adding Backtrace to Minimum Edit Distance

Base conditions: Termination: D(i,0) = i D(0,j) = j D(N,M) is distance **Recurrence Relation**: For each i = 1...MFor each j = 1...N $D(i,j) = \min \begin{cases} D(i-1,j) + 1 & \text{deletion} \\ D(i,j-1) + 1 & \text{insertion} \\ D(i-1,j-1) + 2; & \text{if } X(i) \neq Y(j) & \text{substitution} \\ 0; & \text{if } X(i) = Y(j) \\ \text{ptr}(i,j) = \begin{cases} \text{LEFT} & \text{insertion} \\ \text{DOWN} & \text{deletion} \\ \text{DIAG} & \text{substitution} \end{cases}$

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The Distance Matrix



Every non-decreasing path from (0,0) to (M, N) corresponds to an alignment of the two sequences

An optimal alignment is composed of optimal subalignments

Slide adapted from Serafim Batzoglou



Result of Backtrace

• Two strings and their **alignment**:

INTE * NTION | | | | | | | | | | * EXECUTION





Performance

• Time:

O(nm)

• Space:

O(nm)

Backtrace

O(n+m)



Minimum Edit Distance

Backtrace for Computing Alignments

