# Introduction to Topological Quantum Field Theory

#### Shintaro Fushida-Hardy



### Outline for section 1

# Physics: observe some crucial properties of Feynman's path integrals.

- 2 Category theory: develop a categorical frame work with the desired properties of path integrals.
- 3 Very low dimensional TQFT: introduce the definition, and study 1 and 2 dimensional TQFTs.
- Slightly low dimensional TQFT: explore some applications of TQFT.

A path integral from  $\varphi_0$  to  $\varphi_1$  is

$$\mathcal{A}(\varphi_0, t_0; \varphi_1, t_1) = \int_{\Phi|_{t_i} = \varphi_i} D[\Phi] e^{iS[\Phi]}.$$

- $\varphi_0$  and  $\varphi_1$  represent *states* in a Hilbert space  $\mathcal{H}$ .
- A is a *propagator*, gives the likelihood of  $\varphi_0$  evolving to  $\varphi_1$ .
- The integral is taken over all field configurations with boundary data φ<sub>i</sub> at t<sub>i</sub>.
- $D[\Phi]$  is some measure. S is an *action*.

• There is a correspondence

path integral formalism <----> operator formalism

• Path integrals don't make sense!

### Path integral properties i, and ii

- i. At time  $t_0$ , we obtain a time-constant slice  $V_0$ . We expect a corresponding Hilbert space  $\mathcal{H}_{V_0} = Z(V_0)$ .
- ii. A cobordism  $(M, V_0, V_1)$  between  $V_0$  and  $V_1$  should correspond to the path integral

$$Z(M)(-\otimes -)=\int_{(\Phi|_{t_0},\Phi|_{t_1})=(-,-)}D[\Phi]e^{iS[\Phi]}.$$

More suggestively, we should obtain a *propagator*  $Z(M) : \mathcal{H}_{V_0} \otimes (\mathcal{H}_{V_1})^* \to \mathbb{C}$ . Equivalently,

 $Z(M): \mathcal{H}_{V_0} \to \mathcal{H}_{V_1}.$ 

iii. If  $V_0$  and  $V'_0$  are disjoint, then

$$\mathcal{H}_{V_0\sqcup V_0'}=\mathcal{H}_{V_0}\otimes \mathcal{H}_{V_0'}.$$

iv. A cylindrical cobordism  $M = V_0 \times [t_0, t_1]$  corresponds to the propagator  $Z(M) : \mathcal{H}_{V_0} \to \mathcal{H}_{V_0}$ .

For  $\varphi_0$  normalised we expect

$$A(\varphi_0,\varphi_0)\sim 1.$$

・ 同 ト ・ ヨ ト ・ ヨ ト

Therefore  $Z(M) : \mathcal{H}_{V_0} \to \mathcal{H}_{V_0}$  should be the identity.

v. (Sewing law.) For  $t_0 < t' < t_1$  we expect

$$\int_{\Phi|_{t_i}=\varphi_i} D[\varphi] e^{iS[\varphi]} = \int_{\varphi' \text{ at } t'} D[\varphi'] \bigg( \int_{\Phi|_{t_i}=\varphi_i, \Phi|_{t'}=\varphi'} D[\Phi] e^{iS[\Phi]} \bigg).$$

This corresponds to

$$Z(M)=Z(M_1)Z(M_0)$$

・ 同 ト ・ ヨ ト ・

where *M* is a cobordism from  $V_0$  to  $V_1$ , with  $M = M_0 M_1$ .

- Physics: observe some crucial properties of Feynman's path integrals.
- Category theory: develop a categorical frame work with the desired properties of path integrals.
- 3 Very low dimensional TQFT: introduce the definition, and study 1 and 2 dimensional TQFTs.
- Slightly low dimensional TQFT: explore some applications of TQFT.

A braided monoidal category is a category C equipped with a "tensor product". More precisely, C is equipped with a functor  $\otimes : C \times C \to C$  which

- has a unit:  $1 \in C$  such that  $x \otimes 1 \cong 1 \otimes x \cong x$ ,
- is associative:  $x \otimes (y \otimes z) \cong (x \otimes y) \otimes z$ ,
- and has a *braiding*  $B_{x,y} : x \otimes y \xrightarrow{\sim} y \otimes x$ .

There are additional "coherence conditions" for the natural isomorphisms (requiring that certain diagrams commute).

A braided monoidal category is called a *symmetric monoidal category* if the braiding is involutive:

$$B_{x,y} \circ B_{y,x} = \mathsf{id}_{x \otimes y}$$
.

A braided monoidal category is called a *symmetric monoidal category* if the braiding is involutive:

$$B_{x,y} \circ B_{y,x} = \mathrm{id}_{x\otimes y}$$
.

#### Example

**Vect**<sub>k</sub> is a symmetric monoidal category, with the product given by the usual tensor product  $\otimes_k$ .

#### Example

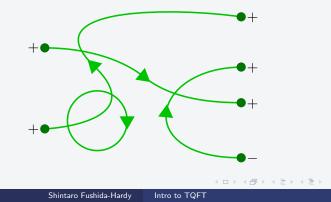
The category n**Cob** of oriented *n*-dimensional cobordisms is a symmetric monoidal category. The product of two closed (n-1)-manifolds is given by their *disjoint union*.

### A closer look at 1**Cob**

Objects of 1**Cob** are oriented 0-manifolds, i.e. finite disjoint unions of signed points:

$$\varnothing$$
, +, + $\sqcup$  - $\sqcup$  -, + <sup>$n$</sup>  $\sqcup$  - <sup>$m$</sup> .

The morphisms are (oriented) 1-manifolds with these points as boundaries. For example,

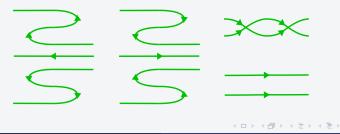


### A closer look at 1**Cob**

Generators of  $1 \ensuremath{\textbf{Cob}}$  :



Relations in 1**Cob**:



Э

A symmetric monoidal functor is a functor  $F : C \to D$  between symmetric monoidal categories which preserves the product and the braiding.

More precisely, the following diagram commutes:

$$F(x \otimes y) \longrightarrow F(y \otimes x)$$

$$\downarrow \qquad \qquad \qquad \downarrow$$

$$F(x) \otimes F(y) \longrightarrow F(y) \otimes F(x)$$

In addition, F must respect the unit and associativity.

To determine  $Z : 1\mathbf{Cob} \to \mathbf{Vect}_k$ , the following data suffices:

- $Z(+) = V \in \mathbf{Vect}_k$ .
- $Z(-) = W \in \mathbf{Vect}_k$ .
- $Z(\varphi)$  for each generator  $\varphi$ .

(4 同 ) 4 ヨ ) 4 ヨ )

To determine  $Z : 1\mathbf{Cob} \to \mathbf{Vect}_k$ , the following data suffices:

- $Z(+) = V \in \mathbf{Vect}_k$ .
- $Z(-) = W \in \mathbf{Vect}_k$ .
- $Z(\varphi)$  for each generator  $\varphi$ .
- 1. Given Z(+), we necessarily have  $Z(-) = Z(+)^*$ .
- 2. Moreover, we necessarily have

$$Z \bigoplus : V \otimes V^* \to k, \quad v \otimes \varphi \mapsto \varphi(v)$$
  

$$Z \bigoplus : V^* \otimes V \to k, \quad \varphi \otimes v \mapsto \varphi(v)$$
  

$$Z \bigoplus : k \to V^* \otimes V, \quad \lambda \mapsto \lambda \sum e_i^* \otimes e_i$$
  

$$Z \bigoplus : k \to V \otimes V^*, \quad \lambda \mapsto \lambda \sum e_i \otimes e_i^*.$$

3. Since *Z* is *symmetric*:

$$Z\left( \underbrace{} V \otimes V \to V \otimes V, \quad (v,w) \mapsto (w,v). \right.$$

#### Result:

Every symmetric monoidal functor  $Z : 1\mathbf{Cob} \to \mathbf{Vect}_k$  is completely determined by Z(+).

< (17) > < (17) > <

3. Since *Z* is *symmetric*:

$$Z\left( \underbrace{} V \otimes V \to V \otimes V, \quad (v,w) \mapsto (w,v). \right.$$

#### Result:

Every symmetric monoidal functor Z : 1**Cob**  $\rightarrow$  **Vect**<sub>k</sub> is completely determined by Z(+).

$$Z\left(\bigcirc\right) = Z\left(\bigcirc\right) \circ Z\left(\bigcirc\right)$$
$$= (\varphi \otimes v \mapsto \varphi(v)) \circ (\lambda \mapsto \lambda \sum e_i \otimes e_i^*)$$
$$= \lambda \mapsto \lambda \sum 1$$
$$= \lambda \mapsto (\dim V)\lambda.$$

(1) マント (1) マント

### Outline for section 3

- Physics: observe some crucial properties of Feynman's path integrals.
- 2 Category theory: develop a categorical frame work with the desired properties of path integrals.
- Very low dimensional TQFT: introduce the definition, and study 1 and 2 dimensional TQFTs.
- Slightly low dimensional TQFT: explore some applications of TQFT.

An *n*-dimensional *topological quantum field theory* is a symmetric monoidal functor

 $Z: n\mathbf{Cob} \to \mathbf{Vect}_k,$ 

for some fixed  $n \in \mathbb{N}$  and field k.

#### Theorem

Topological quantum field theories  $1\mathbf{Cob} \rightarrow \mathbf{Vect}_k$  are in bijective correspondence with finite dimensional vector spaces over k. The correspondence is given by

$$Z \mapsto Z(+).$$

### Why is the definition good?

- i. The functor Z sends each time slice to a space of states; i.e. a vector space.
- ii. Z sends a cobordism  $(M, V_0, V_1)$  to a linear map  $Z(M) : \mathcal{H}_{V_0} \to \mathcal{H}_{V_1}$ . (This is the *propagator*.)
- iii. Since Z is a symmetric monoidal functor, it indeed sends  $Z(V \sqcup V') = Z(V) \otimes Z(V').$
- iv. By functoriality, Z(M) = id whenever M is a cylinder (trivial cobordism).
- v. By functoriality,  $Z(M_0M_1) = Z(M_1) \circ Z(M_0)$ , verifying the sewing law.

▲ 同 ▶ ▲ 国 ▶ ▲

### Classification of 2 dimensional TQFTs

#### Theorem

There is an equivalence of groupoids

 ${TQFTs \ 2Cob \rightarrow Vect_k} \longleftrightarrow comFrob_k$ 

Shintaro Fushida-Hardy Intro to TQFT

イロト イポト イヨト イヨト

### Classification of 2 dimensional TQFTs

#### Theorem

There is an equivalence of groupoids

```
\{TQFTs \ 2\mathbf{Cob} \rightarrow \mathbf{Vect}_k\} \longleftrightarrow \mathbf{comFrob}_k
```

#### Definition

A *Frobenius algebra* is an algebra A over a field equipped with a non-degenerate bilinear form

$$\sigma: A \times A \rightarrow k, \quad \sigma(ab, c) = \sigma(a, bc).$$

- Mat<sub> $n \times n$ </sub> equipped with  $\sigma(A, B) = tr(AB)$ .
- k[G] equipped with  $\sigma(a, b) = \text{coefficient of } e \text{ in } ab$ .

### Frobenius algebras: categorical edition

#### Definition

A Frobenius algebra over k is a vector space A with morphisms

$$\mu: A \otimes A o A, \, \eta: k o A; \quad \delta: A o A \otimes A, \, arepsilon: A o k,$$

such that  $(A, \mu, \eta)$  is a monoid,  $(A, \delta, \varepsilon)$  is a comonoid, and

$$\delta \circ \mu = (\mathsf{id}_A \otimes \mu) \circ (\delta \otimes \mathsf{id}_A) = (\mu \otimes \mathsf{id}_A) \circ (\mathsf{id}_A \otimes \delta).$$

・ 同 ト ・ ヨ ト ・ ヨ ト

A Frobenius algebra over k is a vector space A with morphisms

$$\mu: A \otimes A o A, \, \eta: k o A; \quad \delta: A o A \otimes A, \, arepsilon: A o k,$$

such that  $(A, \mu, \eta)$  is a monoid,  $(A, \delta, \varepsilon)$  is a comonoid, and

$$\delta \circ \mu = (\mathsf{id}_A \otimes \mu) \circ (\delta \otimes \mathsf{id}_A) = (\mu \otimes \mathsf{id}_A) \circ (\mathsf{id}_A \otimes \delta).$$

#### Definition

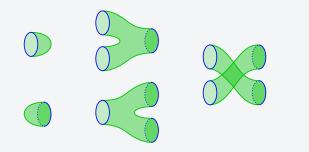
A morphism of Frobenius algebras is a k-linear map preserving both the monoid and comonoid structures.

#### $comFrob_k \subset Frob_k \subset Vect_k$

・ 「 ト ・ ヨ ト ・ ヨ ト

### The structure of 2**Cob**

Generators:



Some relations:



Э

Э

<ロト < 同ト < 三ト <

### TQFTs 2**Cob** $\rightarrow$ **Vect**<sub>k</sub>

М	Z(M)	Interpretation
	$\eta: k  o A$	unit
8	$\mu: A \otimes A \to A$	multiplication
	$\varepsilon: A \to k$	counit
	$\delta: A  o A \otimes A$	comultiplication

<ロト < 部ト < 注ト < 注ト = 注

## Is it really a Frobenius algebra?

E

イロト イヨト イヨト イヨト

# Is it really a Frobenius algebra?

$$id_{A} = Z \left( ( ) \right) = Z \left( ( ) \right)$$
$$= Z \left( ( ) \right) \circ Z \left( ( ) \right)$$
$$= \mu \circ (\eta \otimes id_{A}).$$
$$\delta = Z \left( ( ) \right) = Z \left( ( ) \right) = \beta \circ \delta.$$

Shintaro Fushida-Hardy

Intro to TQFT

### Outline for section 4

- Physics: observe some crucial properties of Feynman's path integrals.
- 2 Category theory: develop a categorical frame work with the desired properties of path integrals.
- 3 Very low dimensional TQFT: introduce the definition, and study 1 and 2 dimensional TQFTs.
- Slightly low dimensional TQFT: explore some applications of TQFT.

TQFTs  $n\mathbf{Cob} \rightarrow \mathbf{Vect}_k$  are a starting point for other "functorial QFTs".

Additional structure on $M$	Corresponding FQFT
Conformal	Conformal field theory
pseudo-Riemannian	Relativistic QFT
Submanifolds	Defect TQFT
Spin	Spin TQFT
Framing	Framed TQFT

▲ 同 ▶ → 目 ▶

TQFTs  $n\mathbf{Cob} \rightarrow \mathbf{Vect}_k$  are a starting point for other "functorial QFTs".

Additional structure on $M$	Corresponding FQFT
Conformal	Conformal field theory
pseudo-Riemannian	Relativistic QFT
Submanifolds	Defect TQFT
Spin	Spin TQFT
Framing	Framed TQFT

- 3d TQFT: Chern-Simons theory
- 4d TQFT: Topological Yang-Mills theory

### Chern-Simons theory

- Schwarz-type TQFT.
- Action:

$$S[A] = rac{k}{4\pi} \int_M \operatorname{tr}(A \wedge dA + rac{2}{3}A \wedge A \wedge A).$$

• *M* is a 3-manifold, with a principal *G*-bundle  $P \rightarrow M$ . (*G* is called the *gauge group*.)

▲ 同 ▶ ▲ 国 ▶ ▲

• A is a connection 1-form;  $A \in \Omega^1(M, \mathfrak{g})$ .

### Chern-Simons theory

- Schwarz-type TQFT.
- Action:

$$S[A] = rac{k}{4\pi} \int_M \operatorname{tr}(A \wedge dA + rac{2}{3}A \wedge A \wedge A).$$

- *M* is a 3-manifold, with a principal *G*-bundle  $P \rightarrow M$ . (*G* is called the *gauge group*.)
- A is a connection 1-form;  $A \in \Omega^1(M, \mathfrak{g})$ .

For G abelian, Chern-Simons theories have been formalised as functorial TQFTs (Freed, Hopkins, Lurie, Teleman).

### Chern-Simons theory to knot theory

Path integral for  $L \subset M$ :

$$\int_{\Omega^1(\mathcal{M},\mathfrak{g})} e^{iS[\mathcal{A}]} \prod \chi_{L_i}(\mathcal{A}) \, d\mathcal{A}.$$

イロト イポト イヨト イヨト

Path integral for  $L \subset M$ :

$$\int_{\Omega^1(M,\mathfrak{g})} e^{iS[A]} \prod \chi_{L_i}(A) \, dA.$$

• G = U(2),  $M = \mathbb{S}^3 \rightsquigarrow$  Jones polynomial of L.

- G = U(n),  $M = \mathbb{S}^3 \rightsquigarrow \text{HOMFLY polynomial of } L$ .
- G = SO(n),  $M = \mathbb{S}^3 \rightsquigarrow$  Kauffman polynomial of L.

"A TQFT is a QFT that computes topological invariants"