Rice Geometry Seminar: Minimal Surfaces, Allen–Cahn, and Balanced Energy

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Stanford University

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Minimal Surfaces



Figure: Plateau's problem, 2 different minimal surface solutions

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Figure: Plateau's problem, 2 different minimal surface solutions

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Figure: Taut circus tent minimizing energy.

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Figure: Plateau's problem, 2 different minimal surface solutions



Figure: Taut circus tent minimizing energy.



Figure: Gyroid (Alan Schoen)

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Interface in phase separations/transitions

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- Interface in phase separations/transitions
- ► Minimize configuration energy ↔ transition interface is small

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Figure

- Interface in phase separations/transitions
- ► Minimize configuration energy ↔ transition interface is small
- Allen–Cahn equation models phase transitions

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Let (M, g) closed manifold.

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Let (M, g) closed manifold. The Allen–Cahn equation is

$$\epsilon^2 \Delta_g u = u(u^2 - 1)$$

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Applications

Let (M, g) closed manifold. The Allen–Cahn equation is

$$\epsilon^2 \Delta_g u = u(u^2 - 1)$$

Solutions are critical points of

$$E_{\epsilon}(u) = \int_{M} \epsilon \frac{|\nabla^{g} u|^{2}}{2} + \frac{W(u)}{\epsilon}$$

 $W(u)=\tfrac{(1-u^2)^2}{4}.$

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Well known results

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Well known results

► Γ-convergence (Modica-Mortola, '77): $E_{\epsilon} \xrightarrow{\epsilon \to 0} P(\{u_{\epsilon} = 0\})$ Rice Geometry Seminar: Minimal Surfaces, Allen–Cahn, and Balanced Energy

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Gluing (Pacard-Ritore, '03): Near a minimal surface, one can find a solution to (1)

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Index and Nullity bounds:



Figure

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Index and Nullity bounds:



Figure

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▶ $\{u_{\epsilon}\}$ solutions with $u_{\epsilon}^{-1}(0) \rightarrow Y$ minimal (nicely) as $\epsilon \rightarrow 0$,

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Index and Nullity bounds:



Figure

▶ $\{u_{\epsilon}\}$ solutions with $u_{\epsilon}^{-1}(0) \rightarrow Y$ minimal (nicely) as $\epsilon \rightarrow 0$,

(Gaspar, Hiesmayr, Le) $Ind_{AC,\epsilon}(u_{\epsilon}) \ge Ind(Y)$

(Chodosh-Mantoulidis) $Ind_{AC,\epsilon}(u_{\epsilon}) + Null_{AC,\epsilon}(u_{\epsilon}) \leq Ind(Y) + Null(Y)$

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► $E_{\epsilon}(u)$ defined for all $u \in H^1$, not just those with $u_{\epsilon}^{-1}(0)$ "well behaved" hypersurface

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Motivation

- ▶ $E_{\epsilon}(u)$ defined for all $u \in H^1$, not just those with $u_{\epsilon}^{-1}(0)$ "well behaved" hypersurface
- Only interested in Allen–Cahn in connection to minimal surfaces

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Motivation

- ▶ $E_{\epsilon}(u)$ defined for all $u \in H^1$, not just those with $u_{\epsilon}^{-1}(0)$ "well behaved" hypersurface
- Only interested in Allen–Cahn in connection to minimal surfaces
 - only look at $u \in H^1$ vanishing on hypersurfaces?

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(Mⁿ, g) closed manifold, Yⁿ⁻¹ ⊆ Mⁿ separating, closed hypersurface

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- (Mⁿ, g) closed manifold, Yⁿ⁻¹ ⊆ Mⁿ separating, closed hypersurface
- Exists unique solutions, u_{ϵ}^{\pm} , on M^{\pm} vanishing on Y

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- (Mⁿ, g) closed manifold, Y^{n−1} ⊆ Mⁿ separating, closed hypersurface
- Exists unique solutions, u_{ϵ}^{\pm} , on M^{\pm} vanishing on Y
- Define the "Balanced Energy"

$$\mathsf{BE}_{\epsilon}(Y) := E_{\epsilon}(u_{\epsilon}^+, M^+) + E_{\epsilon}(u_{\epsilon}^-, M^-)$$

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Figure

Theorem (MK, Silva)

The first variation is given by

$$\frac{d}{dt}BE_{\epsilon}(Y_t)\Big|_{t=0} = \frac{\epsilon}{2}\int_{Y}f[(u_{\epsilon,\nu}^+)^2 - (u_{\epsilon,\nu}^-)^2]$$

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• Critical points $\implies u_{\epsilon,\nu}^+ = u_{\epsilon,\nu}^-$ • u_{ϵ} is an Allen–Cahn solutions Rice Geometry Seminar: Minimal Surfaces, Allen–Cahn, and Balanced Energy

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Figure





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Critical points ⇒ u⁺_{ϵ,ν} = u⁻_{ϵ,ν}
 u_ϵ is an Allen–Cahn solutions
 Existence of critical points (Pacard–Ritore)

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Figure

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- Critical points $\implies u_{\epsilon,\nu}^+ = u_{\epsilon,\nu}^-$ • u_{ϵ} is an Allen–Cahn solutions
- Existence of critical points (Pacard–Ritore)
- For Y satisfying mild geometric assumptions

$$\frac{\epsilon}{2}(u_{\epsilon,\nu}^{+})^{2} - (u_{\epsilon,\nu}^{-})^{2} = \frac{1}{2\sqrt{2}}[H_{Y} + O(\epsilon)]$$

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Theorem (MK, Silva)

Let Y a critical point for BE_{ϵ} . The second variation is given by

$$\left. \frac{d^2}{dt^2} BE_{\epsilon}(Y_t) \right|_{t=0} = \epsilon \int_{Y} f u_{\nu} [\dot{u}_{\epsilon,\nu}^+ - \dot{u}_{\epsilon,\nu}^-]$$

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Theorem (MK, Silva)

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$$\frac{d^2}{dt^2}BE_{\epsilon}(Y_t)\Big|_{t=0} = \epsilon \int_{Y} fu_{\nu}[\dot{u}^+_{\epsilon,\nu} - \dot{u}^-_{\epsilon,\nu}]$$

If Y satisfies mild geometric assumptions, then

$$\frac{d^2}{dt^2}BE_{\epsilon}(Y_t)\Big|_{t=0} = D^2A|_Y(f) + E(f)$$
$$|E(f)| \le K\epsilon^{1/2}||f||_{H^1}^2$$

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Remarks

• \dot{u}_{ϵ}^{\pm} satisfies linearized Allen–Cahn system on M^{\pm}

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$$|E(f)| \le K\epsilon^{1/2}||f||_{H^1}^2$$

Remarks

- \dot{u}^{\pm}_{ϵ} satisfies linearized Allen–Cahn system on M^{\pm}
- Error bound relies on invertibility of $\epsilon^2 \Delta_g W''(u) : H_0^1(M^+) \to H_0^{-1}(M^-)$

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Applications: Fischer-Colbrie-Schoen Mimic

Let M^3 complete 3-manifold with $R \ge 0$ and $Y^2 \subseteq M^3$, compact.

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Applications: Fischer-Colbrie-Schoen Mimic

Let M^3 complete 3-manifold with $R \ge 0$ and $Y^2 \subseteq M^3$, compact.

Theorem (Fischer-Colbrie-Schoen)

If Y is a stable minimal surface, then Y conformally equivalent to (S^2, g_{round}) or a totally geodesic flat torus T^2 . If R > 0 on M then only S^2 can occur Rice Geometry Seminar: Minimal Surfaces, Allen–Cahn, and Balanced Energy

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Theorem (Fischer-Colbrie-Schoen)

If Y is a stable minimal surface, then Y conformally equivalent to (S^2, g_{round}) or a totally geodesic flat torus T^2 . If R > 0 on M then only S^2 can occur

Theorem (MK, Silva)

If Y is a stable critical point for BE_{ϵ} (satisfying mild geometric constraints) then Y is either conformally equivalent to (S^2 , g_{round}) or Y is topologically a torus and

$$||A_Y||_{L^2(Y)}^2 \le K\epsilon^{1/2}$$

for K independent of ϵ .

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Theorem

Let $Y \leftrightarrow u_{\epsilon}$ a critical point for BE_{ϵ} . Then

 $Ind_{AC}(u_{\epsilon}) = Ind_{BE_{\epsilon}}(Y)$ $Null_{AC}(u_{\epsilon}) = Null_{BE_{\epsilon}}(Y)$ Rice Geometry Seminar: Minimal Surfaces, Allen–Cahn, and Balanced Energy

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► Let
$$Q(u_{\epsilon})(v) = \frac{d^2}{dt^2} E_{\epsilon}(u+tv)\Big|_{t=0}$$
. Recall
 $\operatorname{Ind}_{AC}(u) := \max\{\dim V \mid V \subseteq H^1(M), Q(u)\Big|_{(V,V)} < 0\}$
 $\operatorname{Null}_{AC}(u) := \dim \ker(\epsilon^2 \Delta_g - W''(u))$
(kernel is in $H^1(M)$)

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Theorem

Let $Y \leftrightarrow u_{\epsilon}$ a critical point for BE_{ϵ} . Then

$$Ind_{AC}(u_{\epsilon}) = Ind_{BE_{\epsilon}}(Y)$$

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► Let
$$Q(u_{\epsilon})(v) = \frac{d^2}{dt^2} E_{\epsilon}(u + tv) \Big|_{t=0}$$
. Recall
 $\operatorname{Ind}_{AC}(u) := \max{\dim V \mid V \subseteq H^1(M), Q(u) \Big|_{(V,V)} < 0}$
 $\operatorname{Null}_{AC}(u) := \dim \ker(\epsilon^2 \Delta_g - W''(u))$
(kernel is in $H^1(M)$)
Theorem says we can compute index/nullity on smaller

ı.

space of

$$W = \{ \dot{w}(f) \in H^1(M) \mid f \in H^1(Y), \epsilon^2 \Delta_g \dot{w} = W''(u) \dot{w}, \\ \dot{w} \Big|_{Y} = -fu_{\nu} \}$$

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• Want to compute
$$\frac{d^2}{dt^2}E_{\epsilon}(u+tv)$$

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$$Y_t = (u + tv)^{-1}(0)$$

and M_t^{\pm} accordingly

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$$Y_t = (u + tv)^{-1}(0)$$



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• Let
$$\dot{\psi} = \partial_t \psi \Big|_{t=0}$$
, then

$$\frac{d^2}{dt^2} E_{\epsilon}(u+tv) \stackrel{!}{=} \frac{d^2}{dt^2} \mathsf{BE}_{\epsilon}(Y_t)\Big|_{t=0} + Q(u)(\dot{\psi},\dot{\psi})$$

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• Let
$$\dot{\psi} = \partial_t \psi \Big|_{t=0}$$
, then

$$\frac{d^2}{dt^2} E_{\epsilon}(u+tv) \stackrel{!}{=} \frac{d^2}{dt^2} \mathsf{BE}_{\epsilon}(Y_t) \Big|_{t=0} + Q(u)(\dot{\psi}, \dot{\psi})$$

• $\dot{\psi}\Big|_{Y} = 0$ and u_{ϵ} is a minimizer gives:

$$Q(\dot{\psi}, \dot{\psi}) \ge 0$$
$$\implies \frac{d^2}{dt^2} E_{\epsilon}(u + tv) \Big|_{t=0} - \frac{d^2}{dt^2} \mathsf{BE}_{\epsilon}(Y_t) \Big|_{t=0} \ge 0$$
$$\implies \mathsf{Ind}_{AC}(u_{\epsilon}) - \mathsf{Ind}_{\mathsf{BE}_{\epsilon}}(Y) \ge 0$$

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Applications of 2nd Variation: Solutions on S^1

Let $u_{\epsilon,2p}: S^1 \to \mathbb{R}$ be the unique Allen–Cahn solution on S^1 vanishing on D_{2p} -symmetric points:

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Applications

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Applications of 2nd Variation: Solutions on S^1

Let $u_{\epsilon,2p}: S^1 \to \mathbb{R}$ be the unique Allen–Cahn solution on S^1 vanishing on D_{2p} -symmetric points:



Theorem

Fix p > 0. There exists ϵ_p such that for all $\epsilon < \epsilon_p$, $u_{\epsilon,2p}$ has Allen–Cahn Morse index 2p - 1 and nullity 1. The nullity is realized by rotations and every other variation produces a strictly negative variations.

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$$\frac{d^2}{dt^2} \mathsf{BE}_{\epsilon}(Y+tf) = \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) u_{\nu}\left(\frac{i}{2p}\right) \left[\dot{u}_{i,x}^+ - \dot{u}_{i,x}^-\right] \left(\frac{i}{2p}\right)$$
$$= \epsilon c \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) \dot{u}_{i,x}\left(\frac{i}{2p}\right)$$
$$+ f\left(\frac{i+1}{2p}\right) \dot{u}_{i,x}\left(\frac{i+1}{2p}\right)$$
$$\stackrel{!}{=} \epsilon c^2 v(\epsilon) \sum_{i=0}^{2p-1} \left[f\left(\frac{i}{2p}\right) - f\left(\frac{i+1}{2p}\right)\right]^2$$

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$$\begin{aligned} \frac{d^2}{dt^2} \mathsf{BE}_\epsilon(Y+tf) &= \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) u_\nu\left(\frac{i}{2p}\right) \left[\dot{u}_{i,x}^+ - \dot{u}_{i,x}^-\right] \left(\frac{i}{2p}\right) \\ &= \epsilon c \sum_{i=0}^{2p-1} f\left(\frac{i}{2p}\right) \dot{u}_{i,x} \left(\frac{i}{2p}\right) \\ &+ f\left(\frac{i+1}{2p}\right) \dot{u}_{i,x} \left(\frac{i+1}{2p}\right) \\ &\stackrel{!}{=} \epsilon c^2 v(\epsilon) \sum_{i=0}^{2p-1} \left[f\left(\frac{i}{2p}\right) - f\left(\frac{i+1}{2p}\right) \right]^2 \end{aligned}$$

where $v(\epsilon) < 0$ - relies on explicit computation of $\dot{u}_{i,x}$

Rice Geometry Seminar: Minimal Surfaces, Allen–Cahn, and Balanced Energy

Background and Motivation

BE Basics

Applications

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 Constructing solutions near minimal surfaces with singularities Rice Geometry Seminar: Minimal Surfaces, Allen–Cahn, and Balanced Energy

Background and Motivation

BE Basics

Applications

- Constructing solutions near minimal surfaces with singularities
- Applying framework to line bundle valued Allen–Cahn for existence of minimizers



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BE Basics

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▶ Development of *BE*_€-surface flow

$$\partial_t x = [u_{\nu}^+(x)]^2 - [u_{\nu}^-(x)]^2$$

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