# Lecture 12 Feedback control systems: static analysis

- feedback control: general
- example
- open-loop equivalent system
- plant changes, disturbance rejection, sensor noise

## Feedback control systems

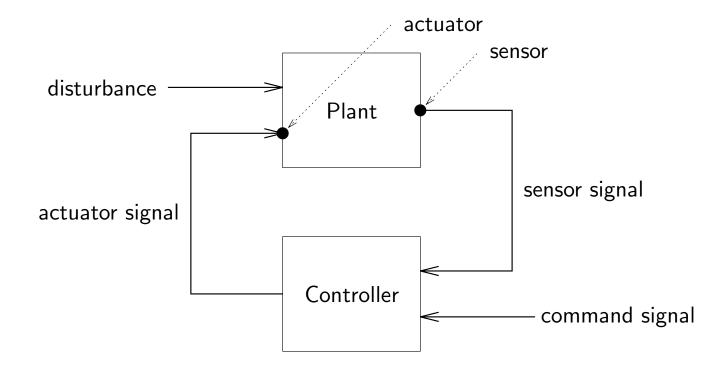
feedback is widely used in *automatic control* 

#### terminology:

- the system to be controlled is called the *plant*
- a *sensor* measures the quantity to be controlled
- an *actuator* affects the plant
- the *controller* or *control processor* processes the sensor signal to drive the actuator
- the *control law* or *control algorithm* is the algorithm used by the control processor to derive the actuator signal

# **Block diagram**

(often the sensors and actuators are not shown separately)



### Examples

**plants:** CD player, disk drive mechanics; aircraft or missile; car suspension, engine; rolling mill; high-rise building, XY stage on stepper machine for IC lithography; computer network; industrial process; elevator

**sensors:** radar altimeter; GPS; shaft encoder; LVDT; strain gauge; accelerometer; tachometer; microphone; pressure and temperature transducers; chemical sensors; microswitch

**actuators:** hydraulic, pneumatic, electric motors; pumps; heaters; aircraft control surfaces; voice coil; solenoid; piezo-electric transducer

**disturbances:** wind gusts; earthquakes; external shaking and vibration; road surface variations; variation in feed material

**control processors:** human operator; mechanical; electro-mechanical; analog electrical; general purpose digital processor; special purpose digital processor

# Example

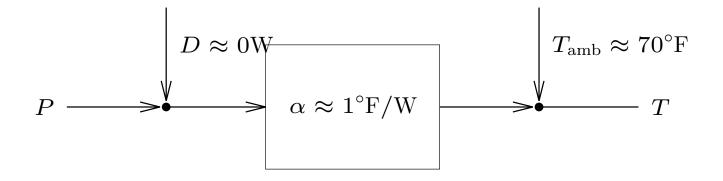
a plate is to be heated to a desired temperature  $T_{\rm des}$  by an electrical heater

- the *plant* is the plate
- the actuator is the electrical heater
- the controller sets the heater power, given  $T_{\rm des}$
- the input  $\boldsymbol{u}$  is the heater power  $\boldsymbol{P}$
- the output y is the plate temperature T

in steady-state,  $T = T_{amb} + \alpha (P + D)$ 

- $T_{\rm amb}$  is the ambient temperature,  $T_{\rm amb} \approx 70^{\circ}{\rm F}$
- $\alpha$  is a thermal resistance coefficient,  $\alpha \approx 1^{\circ} {\rm F/W}$
- D is a thermal disturbance to the plate,  $D \approx 0$ W (represents other heat flow, in or out of plate)

#### block diagram:



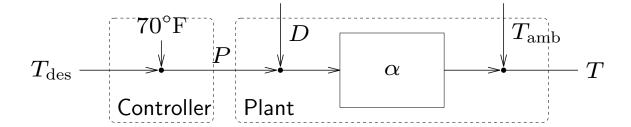
#### An open-loop controller

obvious control law: use power that yields  $T = T_{des}$  when  $T_{amb} = 70^{\circ}$ F,  $\alpha = 1^{\circ}$ F/W, and D = 0W, *i.e.*,

$$P = (T_{\rm des} - 70^{\circ} {\rm F}) / (1^{\circ} {\rm F/W})$$

(we assume here  $T_{\rm des} \ge 70^{\circ} {\rm F}$ , so  $P \ge 0 {\rm W}$ )

called open-loop or feedforward because sensor signal is not used



How well does it work when  $T_{\rm amb} \neq 70^{\circ} \text{F}$ ,  $\alpha \neq 1^{\circ} \text{F}/\text{W}$ , and  $D \neq 0 \text{W}$ ?

temperature error is  $e = T - T_{des}$ 

$$= (\alpha - 1^{\circ} \mathrm{F/W})(T_{\mathrm{des}} - 70^{\circ} \mathrm{F}) + (T_{\mathrm{amb}} - 70^{\circ} \mathrm{F}) + \alpha D$$

some scenarios, with  $T_{\rm des} = 150^{\circ} \rm F$ :

$T_{ m amb}$	lpha	D	e
$70^{\circ}\mathrm{F}$	$1^{\circ}\mathrm{F/W}$	0W	$0^{\circ}\mathrm{F}$
$65^{\circ}\mathrm{F}$	$1^{\circ}\mathrm{F/W}$	0W	$-5^{\circ}\mathrm{F}$
$70^{\circ}\mathrm{F}$	$0.9^{\circ}\mathrm{F/W}$	0W	$-8^{\circ}\mathrm{F}$
$70^{\circ}\mathrm{F}$	$1^{\circ}\mathrm{F/W}$	5W	$5^{\circ}\mathrm{F}$

### A closed-loop controller

add sensor to measure plate temperature  ${\cal T}$ 

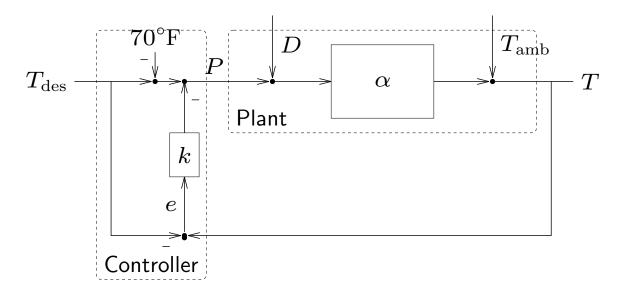
modify heater power to

$$P = \underbrace{(T_{\rm des} - 70^{\circ} {\rm F})/(1^{\circ} {\rm F/W})}_{\text{open-loop control}} - k \underbrace{(T - T_{\rm des})}_{\text{error}}$$

where  $k > 0 W / {}^{\circ}F$ 

- called *proportional control* since we are feeding back a signal proportional to the error
- k is called the proportional feedback gain
- extra term 'does the right thing':  $T < T_{des} \Rightarrow e < 0 \Rightarrow$  increase P $T > T_{des} \Rightarrow e > 0 \Rightarrow$  decrease P

block diagram:



How well does this controller work?

Solve

$$T = T_{\text{amb}} + \alpha (P + D), \quad P = T_{\text{des}} - 70^{\circ} \text{F} - k(T - T_{\text{des}})$$

for error  $e = T - T_{des}$  to get

$$e = \frac{(\alpha - 1^{\circ} F/W)(T_{des} - 70^{\circ} F) + (T_{amb} - 70^{\circ} F) + \alpha D}{1 + \alpha k}$$

Thus

closed-loop error 
$$= \frac{\text{open-loop error}}{1 + \alpha k}$$

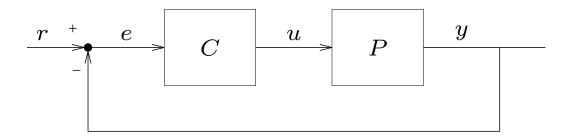
some scenarios, with  $T_{des} = 150^{\circ}F$  and k = 10:

$T_{\mathrm{amb}}$	lpha	D	e
$70^{\circ}\mathrm{F}$	$1^{\circ}\mathrm{F/W}$	0W	0°F
$65^{\circ}\mathrm{F}$	$1^{\circ}\mathrm{F/W}$	0W	$-0.45^{\circ}\mathrm{F}$
$70^{\circ}\mathrm{F}$	$0.9^{\circ}\mathrm{F/W}$	0W	$-1^{\circ}\mathrm{F}$
$70^{\circ}\mathrm{F}$	$1^{\circ}\mathrm{F/W}$	5W	$0.45^{\circ}\mathrm{F}$

- closed-loop controller handles changes in ambient temperature/ thermal resistance and disturbances much better than open-loop controller
- improvement is  $1/(1 + \alpha k)$  the sensitivity of the feedback loop so large  $k \Rightarrow$  good performance

### **Feedback control**

Common setup for feedback control:



- P is the plant; C is the controller
- *u* is the plant input (actuator signal); *y* is the plant output (sensor signal)
- r is the reference or command input (what we'd like y to be)
- e = r y is the (tracking) error

can also be other signals e.g., disturbances and noises

goal: make  $y \approx r$ , *i.e.*, *e* small (despite variations in *P*, disturbances, . . . )

Consider static, linear case  $(u, y, P, C, \ldots)$  are numbers)

closed-loop gain from r to y,  $T=\frac{PC}{1+PC}=1-S$  is called the closed-loop input/output (I/O) gain

closed-loop gain from r to  $e\text{, }S=\frac{1}{1+PC}$  is the sensitivity

for small 
$$\delta P$$
,  $\frac{\delta T}{T} = S \frac{\delta P}{P}$ 

large loop gain L = PC (positive or negative)  $\Rightarrow S$  small  $\Rightarrow$ 

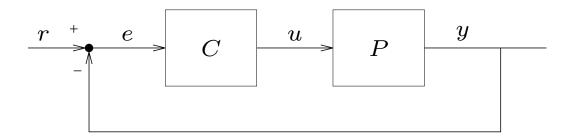
- $T \approx 1$
- e is small (for a given r)
- $\bullet~I/O$  gain is insensitive to changes in plant gain

example:  $L \approx 20 \text{dB} \Rightarrow$ 

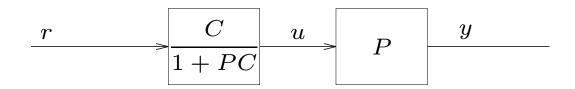
- $T \approx 1$  (within about 10%)
- $y \approx r$  within about 10% (tracking error is roughly 10%)

#### **Open-loop equivalent system**

closed-loop system:



open-loop equivalent (OLE) system:



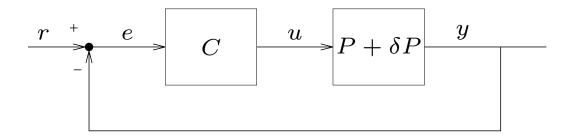
(has same I/O gain as closed-loop system, *i.e.*, T)

OLE system is used to compare open- & closed-loop arrangements we'll look at

- changes in P
- input & output disturbances
- sensor noise

### Changes in P

closed-loop system:



$$\delta T_{\rm cl} = \frac{PC + \delta PC}{1 + PC + \delta PC} - \frac{PC}{1 + PC}$$

open-loop equivalent system:

$$\delta T_{\rm ole} = \frac{PC + \delta PC}{1 + PC} - \frac{PC}{1 + PC}$$

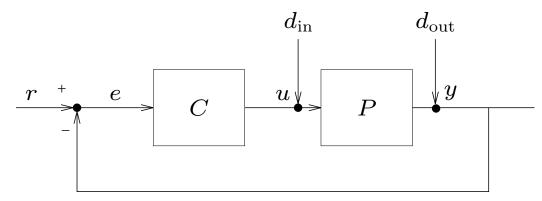
hence (after some algebra)

$$\delta T_{\rm cl} = \frac{1}{1 + PC + \delta PC} \delta T_{\rm ole}$$

so for small  $\delta P$ ,  $\delta T_{\rm cl} \approx S \delta T_{\rm ole}$ 

#### Input & output disturbances

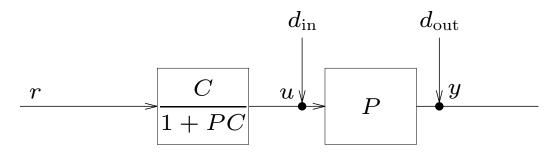
suppose disturbances  $d_{\rm in}$ ,  $d_{\rm out}$  act on the plant



effect on y (with r = 0)

$$y_{\rm cl} = \frac{P}{1+PC}d_{\rm in} + \frac{1}{1+PC}d_{\rm out}$$

open-loop equivalent system:



effect on y (with r = 0):

$$y_{\rm ole} = Pd_{\rm in} + d_{\rm out}$$

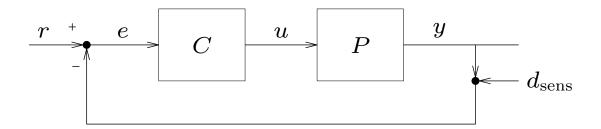
hence  $y_{cl} = Sy_{ole}$ , *i.e.*, effect of disturbances multiplied by S

 $L \text{ large} \Rightarrow S \text{ small} \Rightarrow \text{effect of disturbances small}$ 

Feedback control systems: static analysis

#### Sensor noise

suppose sensor has noise  $d_{sens}$ 



effect on y (with r = 0):

$$y_{\rm cl} = \frac{-PC}{1+PC} d_{\rm sens}$$

open-loop equivalent system:

effect on y (with r = 0):

$$y_{\text{ole}} = 0,$$

much better than closed-loop system!

#### finally, a disadvantage of feedback: output can be affected by sensor noise

### Summary

- benefits of feedback determined by the sensitivity S = 1/(1 + PC)
- large loop gain L = PC (positive *or* negative) yields small S, hence benefits of feedback

benefits of feedback include (when  $|S| \ll 1$ ):

- good tracking  $(y \approx r)$
- low sensitivity of I/O gain w.r.t. plant gain
- reduction of effect of input & output disturbances on output

some *disadvantages* of feedback control:

- cost (or reliability) of sensor
- sensor noise affects output

(we'll see others later)