

## EE276: Problem Session #3 Problems

### 1. AEP.

Let  $X_i$  be iid  $\sim p(x)$ ,  $x \in \{1, 2, \dots, m\}$ . Let  $\mu = EX$ , and  $H = -\sum p(x) \log p(x)$ . Let  $A^n = \{x^n \in \mathcal{X}^n : |-\frac{1}{n} \log p(x^n) - H| \leq \epsilon\}$ . Let  $B^n = \{x^n \in \mathcal{X}^n : |\frac{1}{n} \sum_{i=1}^n X_i - \mu| \leq \epsilon\}$ .

- Does  $\Pr\{X^n \in A^n\} \rightarrow 1$  as  $n \rightarrow \infty$ ?
- Does  $\Pr\{X^n \in A^n \cap B^n\} \rightarrow 1$  as  $n \rightarrow \infty$ ?
- Show  $|A^n \cap B^n| \leq 2^{n(H+\epsilon)}$ , for all  $n$ .
- Show  $|A^n \cap B^n| \geq (\frac{1}{2})2^{n(H-\epsilon)}$ , for  $n$  sufficiently large.

### 2. Uniquely decodable and instantaneous codes.

Let  $L = \sum_{i=1}^m p_i l_i^{100}$  be the expected value of the 100th power of the word lengths associated with an encoding of the random variable  $X$ . Let  $L_1 = \min L$  over all instantaneous codes; and let  $L_2 = \min L$  over all uniquely decodable codes. What inequality relationship exists between  $L_1$  and  $L_2$ ?

### 3. Classes of codes.

Consider the code  $\{0, 01\}$

- Is it instantaneous?
- Is it uniquely decodable?
- Is it nonsingular?

### 4. Data compression.

Find an optimal set of binary codeword lengths  $l_1, l_2, \dots$  (minimizing  $\sum p_i l_i$ ) for an instantaneous code for each of the following probability mass functions:

- $\mathbf{p} = (\frac{10}{41}, \frac{9}{41}, \frac{8}{41}, \frac{7}{41}, \frac{7}{41})$
- $\mathbf{p} = (\frac{9}{10}, (\frac{9}{10})(\frac{1}{10}), (\frac{9}{10})(\frac{1}{10})^2, (\frac{9}{10})(\frac{1}{10})^3, \dots)$

### 5. Slackness in the Kraft inequality.

- In the lecture, we have seen Kraft inequality for prefix codes over the alphabet  $\{0, 1\}$  with alphabet size  $D = 2$ . The proof used a binary tree, where the branches represent the symbols of the codeword and each codeword is represented by a leaf on the tree. For prefix codes over an alphabet of size  $D$ , what would be the degree of the tree?
- In the lecture, we have observed that when  $D = 2$ , an optimal code satisfies Kraft inequality with equality. Is this still true when  $D > 2$ ?
- A prefix code has word lengths  $l_1, l_2, \dots, l_m$  which satisfy the strict inequality

$$\sum_{i=1}^m D^{-l_i} < 1.$$

The code alphabet is  $\mathcal{D} = \{0, 1, 2, \dots, D-1\}$ . Show that there exist arbitrarily long sequences of code symbols in  $\mathcal{D}^*$  which cannot be decoded into sequences of codewords.